

GP 3676



PATENT

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Kevin G. Rooney, Reg. No. 36,330

12/18/03

Date

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GROUP 3676

Applicants: J. Clayton Miller et al.  
Serial No.: 10/667,959  
Filed: September 22, 2003  
Examiner: Unknown  
Group Art Unit: 3676  
Confirmation No.: 1196  
For: DEAD BOLT LOCK SYSTEM HAVING MULTIPLE SECURITY FEATURES  
Atty. Docket No.: LOCM-03C

Cincinnati, Ohio 45202

December 18, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the Examiner in connection with the above-identified patent application. Copies of the cited references have already been supplied

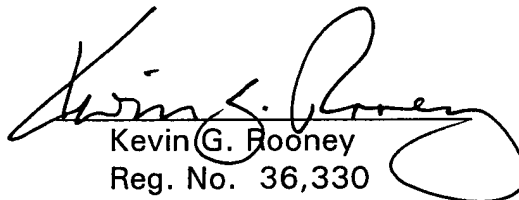
in connection with U.S. Patent Application Ser. Nos. 09/661,689 (now U.S. Patent No. 6,622,534), 09/090,626 (abandoned) and 08/797,438 (abandoned). The present application is a continuation-in-part of Serial No. 09/661,689 and claims the benefit thereof under 35 U.S.C. § 120. Therefore, under 37 C.F.R. § 1.98(d) copies of the cited references need not be provided herewith. If additional copies of these references are desired, please contact the undersigned and they will be forwarded immediately.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants do not believe that any fees are due in connection with this submission. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to deposit account 23-3000.

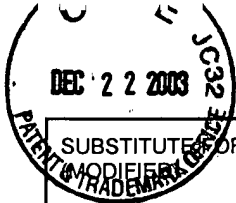
Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

  
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SUBSTITUTE FORM PTO 1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. LOCM-03C		SERIAL NO. 10/667,959						
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (See several sheets if necessary)				APPLICANT J. Clayton Miller et al.		FILING DATE September 22, 2003						
				GROUP 3676								
U.S. PATENT DOCUMENTS												
EXAMINER INITIAL	A.A	4	5	0	2	9	0	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	4	5	0	2	9	0	4/1891	Hansen			
	A.B	7	8	0	1	8	1	1/1905	Holden	70	118	
	A.C	9	2	8	9	0	4	7/1909	Cottrell	70	118	
	A.D	9	4	3	1	4	3	12/1909	Draper	292	165	
	A.E	1	2	8	8	8	1	12/1918	Bolles			
	A.F	1	4	9	6	8	9	6/1924	Krautter			
	A.G	1	5	1	2	9	3	10/1924	Ledin			
	A.H	1	6	0	4	8	6	10/1926	Wildrick	70	284	
	A.I	1	6	3	0	1	4	5/1927	Soemer			
	A.J	1	7	5	6	6	7	4/1930	Soemer	292	165X	
	A.K	1	8	0	5	9	9	5/1931	Rhiner	292	165	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS												
		DOCUMENT NUMBER		PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	A.L	302222		12/1917		DE				No		
	A.M	498939		5/1930		DE						
	A.N	817076		8/1937		FR						
	A.O	2039983		8/1980		GB						
	A.P	10530		7/1888		GB						
	A.Q											
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)												
	A.R	JAN 05 2004										
	A.S	GROUP 3676										
	A.T											
EXAMINER								DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.												



SUBSTITUTE FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
LOCM-03CSERIAL NO.  
10/667,959INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

APPLICANT  
J. Clayton Miller et al.FILING DATE  
September 22, 2003GROUP  
3676

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B.A	1	8	9	7	0	8	0	2/1933	Soper	292	165	
	B.B	2	2	3	3	8	2	8	3/1941	Andersen	292	165X	
	B.C	2	2	4	1	1	0	9	5/1941	Bock	292	346	
	B.D	2	2	4	3	1	7	9	5/1941	Whittier	292	169.14	
	B.E	2	4	8	5	0	4	2	10/1949	Friend	292	167X	
	B.F	2	5	2	4	6	9	6	10/1950	Ellis	70	422	
	B.G	2	5	9	4	2	5	3	4/1952	Vander Veer	292	92	
	B.H	2	8	4	3	4	0	9	7/1958	Johannesen	292	165	
	B.I	2	8	7	2	2	3	3	2/1959	Bordner, Jr.	292	92	
	B.J	2	9	5	8	2	1	5	11/1960	Heyman	70	92	
	B.K	3	0	7	3	1	4	3	1/1963	Eads	292	169.14	

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	B.L						
	B.M						
	B.N						
	B.O						
	B.P						
	B.Q						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	B.R	
	B.S	
	B.T	

EXAMINER

DATE CONSIDERED

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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. LOCM-03C		SERIAL NO. 10/667,959							
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)				APPLICANT J. Clayton Miller et al.									
				FILING DATE September 22, 2003		GROUP 3676							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER						ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	C.A	3	2	7	1	0	6	2	9/1966	Schelin	292	336.3	
	C.B	3	3	3	9	9	5	9	9/1967	Krantz	292	254	
	C.C	3	6	3	3	3	8	8	1/1972	Atkinson	70	285X	
	C.D	3	7	7	4	4	2	0	11/1973	Orr	70	131X	
	C.E	3	7	9	6	0	7	3	3/1974	Guiraud	70	432X	
	C.F	3	8	6	5	4	1	4	2/1975	Schlage	292	165X	
	C.G	3	8	6	9	1	5	9	3/1975	Eads	292	92	
	C.H	3	9	5	8	8	2	0	5/1976	Teeple	292	92	
	C.I	4	0	0	3	5	9	3	1/1977	Wilzig et al.	292	92	
	C.J	4	0	0	6	4	7	1	2/1977	Pappas	70	432	
	C.K	4	0	6	4	7	1	9	12/1977	Boeckman et al.	70	355	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER						PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
	C.L												
	C.M												
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	C.P												
	C.Q												
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	C.R												
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	C.T												
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SUBSTITUTE FORM PTO-1449 (MODIFIED) <b>DEC 22 2003</b> PATENT & TRADEMARK OFFICE (37)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. LOCM-03C		SERIAL NO. 10/667,959	
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U.S. PATENT DOCUMENTS												
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE						
D.A	4 1 0 1 1 5 3	7/1978	Dozier	292	92							
D.B	4 2 6 8 0 7 6	5/1981	Itoi	70	279.1X							
D.C	4 3 1 2 5 2 8	1/1982	Hall et al.	292	92							
D.D	4 3 8 9 0 6 1	6/1983	Foshee	292	169.14							
D.E	4 4 7 0 2 7 7	9/1984	Uyeda	70	118							
D.F	4 6 2 9 2 2 8	12/1986	Marko et al.	292	165							
D.G	4 6 3 4 1 5 5	1/1987	Geringer et al.	292	144							
D.H	4 6 6 9 3 9 4	6/1987	Fogleman et al.	109	597							
D.I	4 6 7 9 8 3 4	7/1987	Gotanda	292	169.13							
D.J	4 6 8 8 4 9 2	8/1987	Peghetti	109	597							
D.K	4 7 5 4 7 1 5	7/1988	Squires	109	597							

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)		
D.L							
D.M							
D.N							
D.O							
D.P							
D.Q							

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)	
D.R	<b>REC</b>
D.S	<b>JAN 05 2004</b>
D.T	<b>GROUP</b>

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SUBSTITUTE FORM PTO-1449 (MODIFIED) <div style="border: 1px solid black; border-radius: 50%; padding: 5px; display: inline-block; transform: rotate(-45deg); transform-origin: center;">           DEC 22 2003            PATENT &amp; TRADEMARK OFFICE         </div>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. LOCM-03C		SERIAL NO. 10/667,959			
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U.S. PATENT DOCUMENTS												
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE						
E.A	5 0 4 0 6 5 2	8/1991	Fish et al.	70	187							
E.B	5 0 4 4 1 8 2	9/1991	Totten	292	169.14X							
E.C	5 1 3 9 2 9 2	8/1992	Beals	292	92							
E.D	5 4 7 3 9 2 2	12/1995	Bair et al.	70	333r							
E.E	5 4 8 7 2 8 9	1/1996	Otto III et al.	70	210							
E.F	5 4 9 5 7 3 3	3/1996	Yen et al.	70	284X							
E.G	5 6 3 2 1 7 0	5/1997	Evans	70	333R							
E.H	5 8 1 9 5 6 3	10/1998	Bianco	70	472X							
E.I	5 8 6 2 6 9 2	1/1999	Legault et al.	70	278							
E.J												
E.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)		
E.L							
E.M							
E.N							
E.O							
E.P							
E.Q							

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